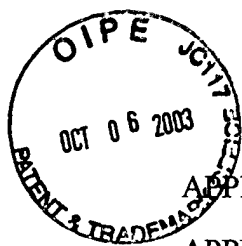


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| TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i> | 0001/PTO Rev. 10/95 | U.S. Department of Commerce Patent and Trademark Office | Application Number | 10/606,011 |
| | | | Filing Date | June 24, 2003 |
| | | | First Named Inventor | Jay L. Gainsboro |
| | | | Group Art Unit Number | Not yet known |
| | | | Examiner Name | Not yet known |
| Total Number of Pages in This Submission | 9* | Attorney Docket Number | | 18279-08041 |

| ENCLOSURES (check all that apply) | |
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| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
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| <input type="checkbox"/> Application Data Sheet | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> |
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| REMARKS: *Does not include cited reference pages. | |

| SIGNATURE OF ATTORNEY OR AGENT | | | |
|--------------------------------|------------------------------------|--------|---------|
| Signature: | <i>Dorian Cartwright</i> | | |
| Attorney/Reg. No.: | Dorian Cartwright, Reg. No. 53,653 | Dated: | 10/2/03 |

| CERTIFICATE OF MAILING | | | |
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| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
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| Express Mail Mailing Number (optional): | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jay L. Gainsboro
APPLICATION NO.: 10/606,011
FILING DATE: June 24, 2003
TITLE: COMPUTER BASED METHOD AND APPARATUS FOR CONTROLLING,
MONITORING, RECORDING AND REPORTING TELEPHONE ACCESS
EXAMINER: Not yet known
GROUP ART UNIT: Not yet known
ATTY. DKT. NO.: 18279-08041

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Dated: 10/2/03

By: Dorian Cartwright
Dorian Cartwright, Reg. No.: 53,853

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

PATENT

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. 09/222,130, issued as 6,611,583, filed on 29-Dec-1998, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

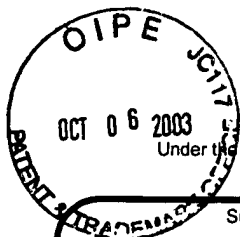
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JAY L. GAINSBORO

Dated: 10/2/03

By: *Dorian Cartwright*
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Fax.: (650) 938-5200

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|------------------------|------------------|
| Application N . | 10/606,011 |
| Filing Date | June 24, 2003 |
| First Named Inventor | Jay L. Gainsboro |
| Art Unit | Not yet known |
| Examiner Name | Not yet known |
| Attorney Docket Number | 18279-08041 |

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U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------|--|
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| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

| | |
|------------------------|------------------|
| Application No. | 10/606,011 |
| Filing Dat | June 24, 2003 |
| First Named Inventor | Jay L. Gainsboro |
| Art Unit | Not yet known |
| Examiner Name | Not yet known |
| Attorney Docket Number | 18279-08041 |

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U.S. PATENT DOCUMENTS

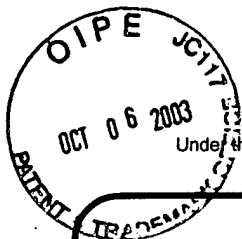
| Examiner Initials* | Cite No. ¹ | Document No. Number - Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
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| Examiner Signature | | Date Considered | |
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| Application No. | 10/606,011 |
| Filing Date | June 24, 2003 |
| First Named Inventor | Jay L. Gainsboro |
| Art Unit | Not yet known |
| Examiner Name | Not yet known |
| Attorney Docket Number | 18279-08041 |

Sheet 3 of 5

U.S. PATENT DOCUMENTS

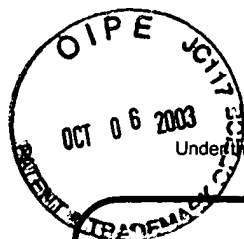
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| Art Unit | Not yet known |
| Examiner Name | Not yet known |
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Sheet 4 of 5

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document No. Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|--|--------------------|--|
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|----------------|
| | CL | JP 5-30193 | 5/93 | | |

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | CM | Bahl, L. "A Maximum Likelihood Approach to Continuous Speech Recovery", Readings in Speech Recognition Ed. A. Waibel and K. Lee, Morgan Kaufmann Publishers, pp 308-319, IEEE 1983 | |
| | CN | Batten, A. "Personal Communications Service and the Intelligent Network", British Telecommunications Engineering, vol 9, pp 88-91 Aug. 1990 | |
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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
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| First Named Inventor | Jay L. Gainsboro |
| Art Unit | Not yet known |
| Examiner Name | Not yet known |
| Attorney Docket Number | 18279-08041 |

Sheet 5 of 5

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | CM | System 20, November 1992 | |
| | CN | Telematic "ConQuest III Intimate Telephone System" November 1992 | |
| | CO | LazerVoice, Digital Recording System Inmate Services, 1997-98 Schlumberger Technologies, Inc./LazerVoice, STIL V0222 LazerVoice User's Manual - Version 2.22 | |
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| | CR | LazerPhone, Powerful Performance Uncompromising Standards, 1998 | |
| | CS | LazerPhone Technical Manual, System Overview | |

18279/08041/DOCS/1376096.1

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